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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/672,177	09/26/2003	John Lezdey	1435-1

CONFIRMATION NO. 9860

JOHN LEZDEY  
 JOHN LEZDEY & ASSOC.  
 4625 EAST BAY DR. SUITE 302  
 CLEARWATER, FL 33764

## FORMALITIES LETTER



\*OC000000012260911\*

Date Mailed: 04/02/2004

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
 DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- o This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c). Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- o This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

**For questions regarding compliance to these requirements, please contact:**

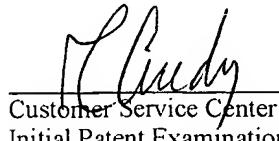
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE



**In the United States Patent and Trademark Office**

Applicant: Lezdey  
Serial No.: 10/672,177  
Filed: 09/26/2003  
For: DNA for Expression of Alphal-Antitrypsin in Methylotrophic Yeast

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**Response**

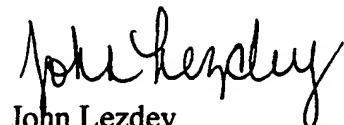
Responsive to the Notice mailed 12/22/2003.

In response to the request to file a sequence listing, applicants request pursuant to 37 C.F.R. 1.821(e) that the disc and readable form filed in application no. 09/526,401 and later filed in application no. 09/981,073 of which this application is a continuation-in-part be made of record in the present application.

No new matter is being submitted.

Enclosed is a copy of the Notice to Comply.

Respectfully submitted,

  
John Lezdey  
Registration No. 22,735

John Lezdey & Associates  
4625 East Bay Drive  
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Clearwater, FL. 33764  
(727) 539-0633



**Remarks**

An Official Filing Receipt and Notice of Sequence Requirement was previously submitted as seen by the attached.

No new sequence is required because applicant has complied with 37 C.F.R. 1821(e).

Respectfully submitted,  
JOHN LEZDEY & ASSOCIATES

  
John Lezdey

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